

## Exhibit "B"

### Quarterly Report of Personal Securities Transactions

To: Chief Compliance Officer, (Insert Name of Investment Adviser)

From: \_\_\_\_\_  
(Access Person – Please Print)

**NOTE: IN LIEU OF THE REPORT, YOU MAY SUBMIT DUPLICATE COPIES OF YOUR BROKERAGE STATEMENTS**

Re: Quarterly Report of Personal Securities Transactions pursuant to Rule 204-2(a)(13) of the Investment Advisers Act, as amended:

During the quarter ending \_\_\_\_\_, I have or another member or my family/household has purchased or sold the following securities:

Date	Nature of the Transaction	Security Title*	Ticker/CUSIP	# Shares	Price	Principal Amount	Name of Broker Dealer

**\*Include interest rate and maturity date if applicable**

**[Use additional sheet(s) if necessary]**

- ☐ During the above period, I have not purchased or sold any securities in my personal brokerage account or in any account in which I have a direct or indirect beneficial interest. Beneficial interest is understood to mean securities transactions in the accounts of my spouse, minor children, or other family/household members.
- ☐ During the above period, I have or another family/household member has not opened any personal securities brokerage account that I have not disclosed to (Insert Name of Investment Adviser).
- ☐ I or other family/household members do not currently have a personal securities brokerage account. However, I agree to promptly notify, (Insert Name of Investment Adviser), if I open or another family/household member opens such an account, so long as I am employed by (Insert Name of Investment Adviser).

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

Report reviewed by: \_\_\_\_\_ Date: \_\_\_\_\_